

**Registered Office:**  
Marathon Innova, A Wing, 7<sup>th</sup> Floor,  
Off G K Marg, Lower Parel,  
Mumbai – 400 013, India.  
+91 22 40896100  
CIN : L14101MH1945PLC256122

**Factory:**  
Plot No. PA-008-020-023,  
Mahindra World City  
Jaipur,  
Bhambhoriya, Sanganer,  
Jaipur – 302037,  
Rajasthan.

[www.asigroup.co.in](http://www.asigroup.co.in)

[artiziasurfaces.com](http://artiziasurfaces.com)

Date: 08.06.2021

**The Bombay Stock Exchange Ltd.,**  
Department of Corporate Services,  
Phiroze Jeejibhoy Towers,  
Dalal Street,  
Mumbai - 400 001.

**Script Code: 502015**

**Trading Symbol: ASIIL**

**ISIN: INE443A01030**

Dear Sir/Madam,

**Sub: Compliance under Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

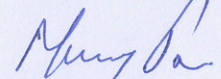
In terms of Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose Secretarial Compliance Report duly issued by GMJ & Associates, Practicing Company Secretary, for the financial year ended March 31, 2021

Kindly take the same on your record.

Thanking you

Your Faithfully,

**For ASI Industries Limited**

  
**Manoj Jain**  
Company Secretary



Encl: A/a

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**SECRETARIAL COMPLIANCE REPORT OF ASI INDUSTRIES LIMITED  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

(Pursuant to Regulation 24A of SEBI (Listing Obligations and  
Disclosure Requirements) Regulations, 2015)

To,  
The Members,  
**ASI INDUSTRIES LIMITED**  
Marathon Innova, A Wing, 7<sup>th</sup> Floor,  
Off. Ganpatrao Kadam Marg,  
Lower Parel,  
Mumbai-400 013

We, **GMJ & Associates, Practicing Company Secretaries** have examined:

- (a) all the documents and records made available to us and explanations provided by **ASI INDUSTRIES LIMITED** ("the listed entity") having CIN: L14101MH1945PLC256122, through Virtual Private Network (VPN) for our Audit purposes as physical examination of certain documents under SEBI Act and other Regulations made thereunder was not possible due to COVID -19 restrictions in Mumbai, Maharashtra where the registered office of the company is situated and Rajasthan, where the factories of the company are located,
- (b) the filings/submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



[Cont...2]

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable to the company during the review period).**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buy-Back of Securities) Regulations, 2018; **(Not applicable to the company during the review period).**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable to the company during the review period).**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable to the company during the review period).**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable to the company during the review period);**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with client;

and circulars / guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.



[Cont...3]

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not applicable				

For GMJ & ASSOCIATES  
 Company Secretaries

*Prabhat Maheshwari*



[CS PRABHAT MAHESHWARI]

PARTNER

M. No. : FCS 2405

COP No.: 1432

UDIN : F002405C000429471

PLACE : MUMBAI

DATE : 7<sup>TH</sup> JUNE, 2021